MAR 2 5 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kevin W. Smith

Serial No.: 09/854,812 Examiner: Unknown

Filed: May 14, 2001 Group Art Unit: Unknown

For: POLYPECTOMY SNARE INSTRUMENT

Docket No.: 1001.1451103

COPY OF PAPERS ORIGINALLY FILED

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 14th day of March, 2002.

By _____
David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Kevin W. Smith

By his attorney,

Dated: 3/14/02

David M. Crompton, Reg. No. 36,772
CROMPTON, SEAGER & TUFTE, LLC
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Minneapolis, MN 55401-2246
Telephone: (612) 677-9050
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MAR 2 5 2002
PATENT & TRADEMARK OFFICE

FORM PTO-1449	Atty. Docket No.: 1001.1451103	Serial No.: 09/854,812
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Kevin W. Smith	
	Filing Date	Group Art:
	May 14, 2001	Unknown

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Filing Date If Appropriate

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FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation Yes No
AA		DE 28 29 159 A1	01/17/1980	Germany	Yes
AB		DE 199 53 359 A1	05/11/2000	Germany	Yes
AC		WO 01/10321	02/15/2001	WIPO	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:	DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

0400

PTO JC68 MAR 25 2002 PATENT & TRADEMARK OFFICE

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TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

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By David M. Crompton
David M. Crompton

We are transmitting herewith the attached:

[] Amendment

[] No additional fee required
[] The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	$	X18=	$
INDEPEN-DENT CLAIMS	-	=		X40=	$	X80=	$
() FIRST MULTIPLE DEPENDENT CLAIM				+135=	$	+270=	$
TOTAL				$		$	

[] A check in the amount of $_____ is enclosed.

[] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.

[XX] Other: SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND CITED REFERENCES.

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: _____
David M. Crompton

Reg. No. 36,772

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